

## Approximating Relative Match-Bounds

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1 / 14

## Motivation

The 595 problems from TPDB/SRS\_STANDARD/ICFP\_2010 are

- **large:** avg. 70 rules of size 2340 (non-ICFP: 3.3 of size 21.5)
- **time consuming:** VBS CPU time at termCOMP'21 avg. 90", median 28" (non-ICFP: avg. 51", median 6")
- **hard:** VBS at termCOMP'21 solves 86 % (non-ICFP: 96 %)

2 / 14

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termCOMP'21 versus '22

	Matchbox	MnM	VBS
termCOMP'21	510	417	514
termCOMP'22	595	594	595

2 / 14

## Overview

Methods from this talk (timeout 10")

	rb	rel. rb	mb	rel. mb
solved	370	568	588	590
%	62.2	95.5	98.8	99.2
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3 / 14

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Example: ICFP/180915 (180 rules on 6 letters)  
 $180 \xrightarrow{\text{rev}} 180 \xrightarrow{\text{rel. mb} (2)} 45 \xrightarrow{\text{rev}} 45 \xrightarrow{\text{rel. mb} (1)} 0$

3 / 14

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- Idea: remove relatively (on RFC) match-bounded rules (H/W'10)
- **New:** approximate this property fast
- Ingredients: (Dershowitz'81); (Büchi'64); (McNaughton'94, Geser'01); automata completion (various authors)
- Independent implementations in Matchbox and MnM

3 / 14

## Termination of (string) rewriting

Modular termination proofs by removing rules

- $\text{SN}(R)$ :  $R$  is **terminating** (or: **strongly normalizing**) if every  $R$ -derivation contains only finitely many  $R$ -steps.
- $\text{SN}(R/S)$ :  $R$  is **terminating relative to  $S$**  if every  $(R \cup S)$ -derivation contains only finitely many  $R$ -steps.
- Theorem: If  $\text{SN}(R/S)$  and  $\text{SN}(S)$  then  $\text{SN}(R \cup S)$ .

4 / 14

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How to prove  $\text{SN}(R)$ , or prove  $\text{SN}(R/S)$ ?

- Ad hoc approach:  $0 \in$  **finitely many**. Show that  $R$ -steps do not occur in any  $R$ -derivation, or show that  $R$ -steps do not occur in any  $(R \cup S)$ -derivation.

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Show that  $R$ -steps do not occur in any  $R$ -derivation, or show that  $R$ -steps do not occur in any  $(R \cup S)$ -derivation.
- Nonsensical, this is never the case ...  
... but could work for a restricted set of derivations.

4 / 14

## Restricting the set of derivations

### Definition: Right-hand sides of forward closures

- $RFC(R) = (\rightarrow_R \cup \neg_{\text{right}(R)})^*(\text{rhs}(R))$ ,  
where  $\rightarrow$  is suffix rewriting, and  
 $\text{right}(R) = \{\ell_1 \rightarrow r \mid (\ell_1 \ell_2 \rightarrow r) \in R, \ell_1 \neq \epsilon \neq \ell_2\}$ .
- $\rightarrow_R$  are **inner steps**,  
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5 / 14

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### Theorem (Dershowitz'81)

$R$  is terminating iff  $R$  is **terminating on  $RFC(R)$** .

### Example: $R = \{ab \rightarrow ba\}$

Here,  $\text{right}(R) = \{a \rightarrow ba\}$ , so  $RFC(R) = (\rightarrow_R \cup \neg_{\text{right}(R)})^*(ba) = b^+a$ .  
 $RFC(R)$  contains **no  $R$ -redex**, so  $R$  is **terminating**.

5 / 14

## Right barren string rewriting

Generalizing McNaughton'94, Geser'01  
from 1-rule to arbitrary finite systems:

### Definition: $R$ is **right barren**

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6 / 14

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### Theorem

This property is **decidable**, and it **implies termination**.

### Proof of decidability

If  $R$  is right barren,  $RFC(R) = \neg_{\text{right}(R)}^*(\text{rhs}(R))$ . This set is **regular**, since regularity is preserved under suffix rewriting (Büchi'64).

6 / 14

## Right barren string rewriting (cont'd)

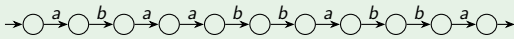
### Example: $R = \{babababab \rightarrow ababababab\}$

7 / 14

## Right barren string rewriting (cont'd)

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Automaton accepting  $\text{rhs}(R)$ :

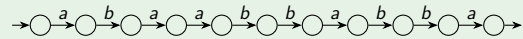


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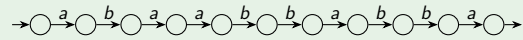
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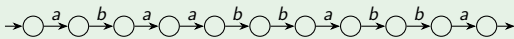


7/14

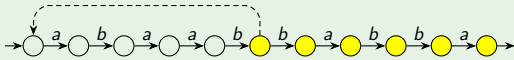
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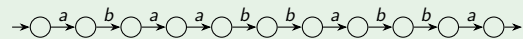


7/14

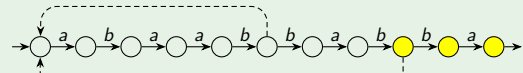
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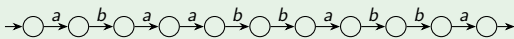


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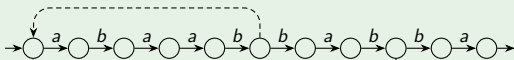
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Closure under  $\rightarrow_{\text{right}(R)}$  by adding epsilon transitions:



The left-hand side of  $R$  is not a factor of any accepted string, so  $R$  is right barren, thus **terminating**.

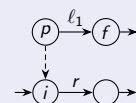
7/14

## Right barren string rewriting (cont'd)

Closure algorithm: suffix matches

For state  $p$ , final state  $f$ ,  $(\ell_1 \rightarrow r) \in \text{right}(R)$ :

If there is a path  $p \xrightarrow{\ell_1} f$ , add  $p \xrightarrow{\epsilon} i$ , where  $i$  is the initial state of the path for  $r$ .



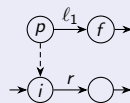
8/14

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- **Termination of this algorithm:** No new nodes, so there are only finitely many possible epsilon transitions.

- **Decide whether  $\ell \in \text{lhs}(R)$  is a factor** of some accepted string: check for path  $p \xrightarrow{\ell} q$  (states are accessible and co-accessible).

8/14

## Removing relatively right barren rules

Definition:  $S \subseteq R$  is *relatively right barren* w. r. t.  $R \setminus S$

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9/14

### Removing relatively right barren rules

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Theorem: Let  $S \subseteq R$  be relatively right barren w. r. t.  $R \setminus S$ . Then  $\text{SN}(R \setminus S)$  implies  $\text{SN}(R)$ .

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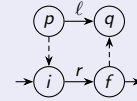
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#### Closure algorithm: suffix and redex matches

Closure steps for suffix matches as before.

Closure steps for redex matches:  
For states  $p, q$ , and  $(\ell \rightarrow r) \in R$ :

If there is a path  $p \xrightarrow{\ell} q$ , add  $p \xrightarrow{r} i$  and  $f \xrightarrow{r} q$ , where  $i$  and  $f$  are the initial resp. final state of the path for  $r$ .



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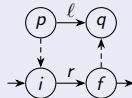
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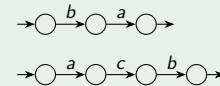


The resulting automaton **over-approximates**  $\text{RFC}(R)$ .

### Removing relatively right barren rules (cont'd)

Example:  $R = \{ab \rightarrow ba, ba \rightarrow acb\}$  (Zantema\_04/z006)

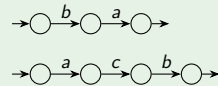
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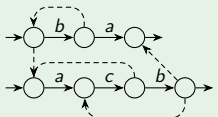
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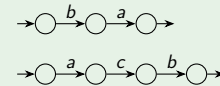
Closure under  $\rightarrow_R \cup \rightarrow_{\text{right}(R)}$ :



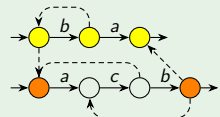
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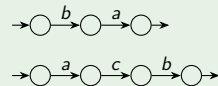
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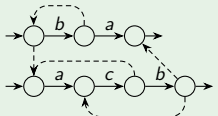
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Automaton for  $\text{rhs}(R)$ :



Closure under  $\rightarrow_R \cup \rightarrow_{\text{right}(R)}$ :



There is no path labelled by the left-hand side of  $S = \{ab \rightarrow ba\}$ :  $S$  is *relatively right barren* w. r. t.  $R \setminus S$ . As  $R \setminus S = \{ba \rightarrow acb\}$  is terminating (it is right barren),  $R$  is terminating.

### Approximating match-bounds

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11 / 14

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**Reject if  $h = B$** , otherwise link to reduct path at height  $h + 1$ .

11 / 14

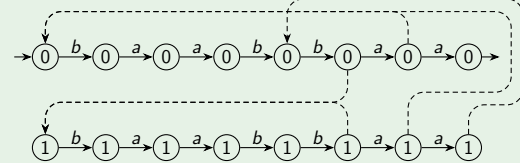
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- In case of success: complete automaton is a **certificate for match-bound  $B$  on  $\text{RFC}(R)$** .

11 / 14

## Approximating match-bounds (cont'd)

Example:  $R = \{abaab \rightarrow baabbaa\}$  (Zantema\_04/z034 reversed)

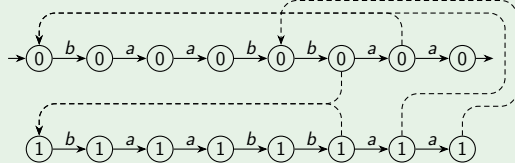


Complete automaton is a certificate for match-bound 1 on  $\text{RFC}(R)$ .

12 / 14

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### Removing relatively match-bounded rules (sketch)

- Now layer  $B$  represents all heights  $\geq B$ ; we never reject.
- After completion, remove those rules where all redex heights are  $< B$ : they are **match-bounded relative** to the remaining rules by  $B$  on  $\text{RFC}$ , so they are **terminating relative** to the remaining rules.

12 / 14

## Summary and discussion

- This method solves SRS\_STANDARD/ICFP\_2010.  
Weaker on non-ICFP: Solves 164 of 1056.
- Cannot solve Zantema\_04/z001.
- But, by iteration, solves problems that are not (RFC-)match-bounded.
- Two independent implementations: Confidence, no certification.
- Combined with *drop common prefix/suffix*, nearly solves Wenzel\_16: MnM solves 222 of 226.
- Implementation: keep the set of epsilon transitions transitively closed.
- Strategy: fix  $B = 2$  or choose  $B = 0, 1, \dots$ ?

13 / 14

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- Challenge: merge this method with the exact  $\text{RFC}$ -method (Endrullis/H/W'06).
- Challenge: termCOMP needs more SRS benchmarks — that are independent of any specific method.  
Continue systematic or random enumeration.

13 / 14