



# One-Dimensional Tiling Systems and String Rewriting

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## Abstract

We use one-dimensional tiling systems (strictly locally testable languages) to over-approximate reachability sets in string rewriting, and apply this to prove termination automatically. This refines the root labeling method by restricting to right-hand sides of forward closures.

## 1. Motivation

The  $k$ -tiles of a string are its factors (contiguous sub-words) of length  $k$ . The tiled version  $\text{tiled}_k(R)$  of a rewrite system  $R$  over  $\Sigma$  describes the action of  $R$  on tiled words. Since  $\text{tiled}_k(R)$  has a larger alphabet (namely,  $\Sigma^k$ ), it may be easier to analyze:

**Example 1.1** For the rewriting system  $R = \{aa \rightarrow aba\}$ , we have  $\text{tiled}_2(R) = \{[aa] \rightarrow [ab, ba]\}$ . It is easy to see that  $\text{tiled}_2(R)$  terminates, since each rule application reduces the number of occurrences of tile  $aa$ . The original system  $R$  does not admit such a proof of termination, since  $R$  does not remove any letters.

## 2. Tiling Systems

A tiling system specifies a language by considering prefixes, factors, and suffixes of bounded length. We give an equivalent definition that allows a uniform description, using end markers  $\triangleleft, \triangleright \notin \Sigma$ . A similar method is used for two-dimensional tiling [3].

**Definition 2.1** For  $w \in \Sigma^*$ , the  $k$ -bordered version is  $\text{bord}_k(w) = \triangleleft^{k-1}w\triangleright^{k-1}$  over  $\Sigma \cup \{\triangleleft, \triangleright\}$ . The  $k$ -tiled version  $\text{tiled}_k(w)$  is the string over  $\Sigma^k$  of all factors of length  $k$ , or  $\epsilon$  in case  $|w| < k$ . Let  $\text{tiles}_k(w)$  denote  $\text{alphabet}(\text{tiled}_k(w))$ , the set of letters in  $\text{tiled}_k(w)$ .

**Example 2.2**  $\text{tiled}_2(\text{bord}_2(abb)) = \text{tiled}_2(\triangleleft abb \triangleright) = [\triangleleft a, ab, bb, bb, b \triangleright]$ ,  $\text{tiles}_2(\text{bord}_2(abb)) = \{\triangleleft a, ab, bb, b \triangleright\}$ ,  $\text{tiles}_2(\text{bord}_2(a)) = \{\triangleleft a, a \triangleright\}$ ,  $\text{tiles}_2(\text{bord}_2(\epsilon)) = \{\triangleleft \triangleright\}$ , and  $\text{tiled}_3(\text{bord}_3(a)) = [\triangleleft \triangleleft a, \triangleleft a \triangleright, a \triangleright \triangleright]$ .

The language defined by a set of tiles  $T$  of length  $k$  is  $\{w \in \Sigma^* \mid \text{tiles}_k(\text{bord}_k(w)) \subseteq T\}$ . This is an equivalent definition of the class of strictly locally  $k$ -testable languages [6, 8], a subclass of regular languages. We will use one-dimensional tiling systems to over-approximate reachability sets in string rewriting.

### 3. Rewriting and Reachability

A *string rewriting system* over alphabet  $\Sigma$  consists of rewrite rules. We use standard concepts and notation, with this extension: a *constrained rule* is a pair of strings  $l, r$  with a constraint  $c \in \{\text{factor}, \text{suffix}\}$ , indicating where the rule is to be applied. The rewrite relations are:

$$\rightarrow_{l,r,\text{factor}} = \{(xly, xry) \mid x, y \in \Sigma^*\}, \quad \rightarrow_{l,r,\text{suffix}} = \{(xl, xr) \mid x \in \Sigma^*\},$$

A constrained rule  $(l, r, c)$  is denoted by  $l \rightarrow_c r$ . Standard rewriting corresponds to the factor constraint, therefore  $\rightarrow$  abbreviates  $\rightarrow_{\text{factor}}$ . For a rewrite system  $R$ , we define  $\rightarrow_R$  as the union of the rewrite relations of its rules. For a relation  $\rho$  on  $\Sigma^*$  and a set  $L \subseteq \Sigma^*$ , let  $\rho(L) = \{y \mid \exists x \in L, (x, y) \in \rho\}$ . Hence the set of *R-reachable* strings from  $L$  is  $\rightarrow_R^*(L)$ , or  $R^*(L)$  for short. A language  $L \subseteq \Sigma^*$  is *closed w.r.t. R* if  $\rightarrow_R(L) \subseteq L$ .

**Example 3.1** For  $R = \{cc \rightarrow_{\text{factor}} bc, ba \rightarrow_{\text{factor}} ac, c \rightarrow_{\text{suffix}} bc, b \rightarrow_{\text{suffix}} ac\}$ , we have  $bbb \rightarrow_{\text{suffix}} bbac \rightarrow_{\text{factor}} bacc$ . The reachability set  $R^*(\{bc, ac\})$  is  $(a+b)b^*c$ . This set is closed w.r.t.  $R$ .

$R$  over  $\Sigma$  is called *terminating on*  $L \subseteq \Sigma^*$  if for each  $w \in L$ , each  $R$ -derivation starting at  $w$  is finite, and  $R$  is *terminating* if it is terminating on  $\Sigma^*$ .

### 4. Closures

Given a rewrite system  $R$  over alphabet  $\Sigma$ , a *closure*  $C = (l, r)$  of  $R$  is a pair of strings with  $l \rightarrow_R^+ r$  such that each position of  $r$  took part in some step of the derivation. In particular, we use *forward closures* [5]. Their right-hand sides can be computed by (factor and) suffix rewriting.

**Proposition 4.1** [4]  $\text{RFC}(R) = (R \cup \text{forw}(R))^*(\text{rhs}(R))$ , where

$$\text{forw}(R) = \{l_1 \rightarrow_{\text{suffix}} r \mid (l_1 l_2 \rightarrow r) \in R, l_1 \neq \epsilon \neq l_2\}.$$

They are related to termination by

**Theorem 4.2** [1]  $R$  is terminating (on  $\Sigma^*$ ) if and only if  $R$  is terminating on  $\text{RFC}(R)$ .

**Example 4.3** For  $R = \{cc \rightarrow bc, ba \rightarrow ac\}$  we have  $\text{forw}(R) = \{c \rightarrow_{\text{suffix}} bc, b \rightarrow_{\text{suffix}} ac\}$  and  $\text{RFC}(R) = (a+b)b^*c$ , cf. Example 3.1. As  $\text{RFC}(R)$  contains no  $R$ -redex,  $R$  is trivially terminating on  $\text{RFC}(R)$ , therefore by Theorem 4.2,  $R$  is terminating.

In the following, we use tiled rewriting to approximate  $\text{RFC}(R)$ . This allows to obtain the termination proof of Example 4.3 automatically.

## 5. Tiled Rewrite Systems

We enlarge the alphabet of a rewrite system by tiling.

**Definition 5.1** For a rule  $l \rightarrow_{\text{factor}} r$  over  $\Sigma$  we define

$$\text{tiled}_k(l \rightarrow_{\text{factor}} r) = \{\text{tiled}_k(xly) \rightarrow_{\text{factor}} \text{tiled}_k(xry) \mid x \in \text{tiles}_{k-1}(\langle^* \Sigma^*), y \in \text{tiles}_{k-1}(\Sigma^* \triangleright^*)\}$$

and for a given set of tiles  $S \subseteq \text{tiles}_k(\Sigma^*)$

$$\text{tiled}_S(l \rightarrow_{\text{factor}} r) = \text{tiled}_k(l \rightarrow_{\text{factor}} r) \cap S^* \times S^* \times \{\text{factor}\}.$$

Both  $\text{tiled}_S$  and  $\text{tiled}_k$  are extended to sets of rules.

**Example 5.2**  $\text{tiled}_2(ba \rightarrow_{\text{factor}} ac)$  contains 16 rules, among them  $[\langle b, ba, a \rangle] \rightarrow [\langle a, ac, c \rangle]$ ,  $[\langle b, ba, aa \rangle] \rightarrow [\langle a, ac, ca \rangle]$ ,  $\dots$ ,  $[ab, ba, a \rangle] \rightarrow [aa, ac, c \rangle]$ ,  $\dots$ ,  $[cb, ba, ac] \rightarrow [ca, ac, cc]$ . For  $S = \{ac, ba, bb, cc\}$  we get  $\text{tiled}_S(ba \rightarrow_{\text{factor}} ac) = \{[bb, ba, ac] \rightarrow [ba, ac, cc]\}$  and for any strict subset  $T$  of  $S$ ,  $\text{tiled}_T(ba \rightarrow_{\text{factor}} ac) = \emptyset$ .

Derivations w.r.t.  $R$  and  $\text{tiled}_k(R)$  are bi-similar, and we obtain

**Theorem 5.3** For  $S \subseteq \text{tiles}_k(\Sigma^*)$ , if  $\text{Lang}(S)$  is closed w.r.t.  $R$ , then  $R$  is terminating on  $\text{Lang}(S)$  if and only if  $\text{tiled}_S(R)$  is terminating.

**Example 5.4** (cont.)  $R = \{cc \rightarrow bc, ba \rightarrow ac\}$ .  $\text{RFC}(R) = \text{Lang}(S)$  for the set of tiles  $S = \{\langle a, \langle b, ab, ac, bb, bc, c \rangle\}$ . The set  $\text{RFC}(R)$  is closed w.r.t.  $R$  by definition and  $\text{tiled}_S(R)$  is empty, therefore terminating. By Theorem 5.3,  $R$  is terminating on  $\text{RFC}(R)$  and by Theorem 4.2,  $R$  is terminating.

We obtain a set of tiles for using Theorem 5.3 by the following algorithm.

**Algorithm 5.5** • *Input:* A rewrite system  $R$  over  $\Sigma$ , a set of tiles  $T \subseteq \text{tiles}_k(\Sigma^*)$ .

- *Output:* A set of tiles  $S \subseteq \text{tiles}_k(\Sigma^*)$  such that  $T \subseteq S$  and  $\text{Lang}(S)$  is closed w.r.t.  $R$ .
- *Implementation:*  $S = \bigcup_i S_i$  for the sequence given by

$$S_0 = T, S_{i+1} = S_i \cup \text{alphabet}(\text{rhs}(\text{tiled}_k(R) \cap \text{lhs}^{-1}(S_i^*))).$$

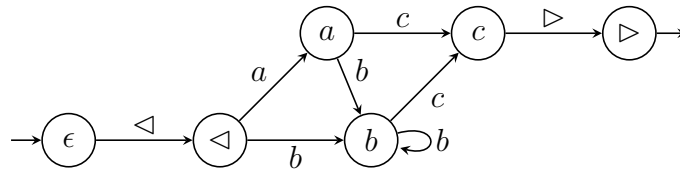
In each step, each rule is extended by contexts of length  $k - 1$  on both sides such that the extended left-hand side can be covered. Then the tiles of the extended right-hand side are added. The algorithm terminates since  $(S_i)$  is increasing w.r.t.  $\subseteq$  and bounded by  $\text{tiles}_k(\Sigma^*)$ .

## 6. Representing Tiling Systems by Automata

For an efficient implementation of the closure algorithm 5.5, we represent a set of tiles of length  $k$  by a deterministic (not necessarily complete or minimal) automaton over  $\Sigma \cup \{\langle, \triangleright\}$  with states from  $\langle^{\leq k} \cup \text{tiles}_{k-1}(\Sigma^*) \cup \{\triangleright^{k-1}\}$ , initial state  $\epsilon$  and final state  $\triangleright^{k-1}$ . For each transition  $p \xrightarrow{c} q$ , state  $q$  is the suffix of length  $k - 1$  of  $p \cdot c$ . Such an automaton  $A$  represents the set of tiles

$$\text{tiles}(A) = \{p \cdot c \mid p \xrightarrow{c}_A q, |p| = k - 1\}.$$

**Example 6.1** (Example 5.4 cont'd) This automaton represents  $\{\langle a, \langle b, ab, ac, bb, bc, c \rangle \rangle\}$ :



Adding tiles in Algorithm 5.5 then corresponds to adding states and edges. With the automata representation, we can quickly check whether a left-hand side of a rule is covered by the current set of tiles. Our implementation can handle automata with  $10^4$  transitions (tiles) in a few seconds.

## 7. Discussion

We have presented a method to compute a regular over-approximation of reachability sets, using tiling systems, represented as automata, and we applied this to termination analysis. The root labeling method [7] corresponds to tiling on the full set  $\Sigma^*$ , for width 2. Our method allows any width, and restricts the set of tiles. Restriction to right-hand sides of forward closures (RFC) had already been applied to enhance the power of the matchbound termination proof method [2]. Our method decouples the RFC method from the matchbound method.

## References

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