

# Bit-Blasting for Termination Analysis

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# Constraints for Linear Interpretations

- ▶ (typical) exercise: Find monotone linear functions  $a : x \mapsto a_1x + a_0, b : x \mapsto b_1x + b_0 : \mathbb{N} \rightarrow \mathbb{N}$  such that  $\forall x \in \mathbb{N} : a(b(x)) > b(a(x))$
- ▶ Application: these  $a, b$  prove termination of string rewriting system  $\{ab \rightarrow ba\}$
- ▶ Constraints: monotonicity:  $a_1 > 0, b_1 > 0$ ,  
map into domain:  $a_0 \geq 0, b_0 \geq 0$ ,  
 $a(b(x)) = a_1b_1x + a_1b_0 + a_0$ ,  
 $b(a(x)) = b_1a_1x + b_1a_0 + b_0$ ,  
compare coefficients:  $a_1b_0 + a_0 > b_1a_0 + b_0$
- ▶ general task: solve system of inequalities between polynomials over  $\mathbb{N}$  (SMT-LIB: QF\_NIA)

# QF\_NIA Example

```
(set-logic QF_NIA)
(set-option :produce-models true)
(declare-fun P () Int) (declare-fun Q () Int)
(declare-fun R () Int) (declare-fun S () Int)
(assert (and (< 0 P) (<= 0 Q) (< 0 R) (<= 0 S)))
(assert (> (+ (* P S) Q) (+ (* R Q) S)))
(check-sat) (get-value (P Q R S))
```

```
$ z3 ab-ba.smt2
sat
((P 14) (Q 9) (R 11) (S 7))
```

just for demonstration, we don't usually do it like this

# Matrix Interpretations

- ▶ (typical) exercise: find matrices over  $\mathbb{N}$

$$A, B, C \in \begin{pmatrix} \geq 1 & \dots & \\ \vdots & \geq \mathbf{0} & \vdots \\ & \dots & \geq 1 \end{pmatrix} \text{ with}$$

$$A^2 - BC, B^2 - AC, C^2 - AB \in \begin{pmatrix} \dots & > 0 \\ \geq \mathbf{0} & \vdots \end{pmatrix}$$

- ▶ application: this proves termination of  $\{aa \rightarrow bc, bb \rightarrow ac, cc \rightarrow ab\}$   
(was open for some years, solved in 2005)
- ▶ general task (again): solve system of inequalities between polynomials over  $\mathbb{N}$ .

# Matrix Interpretation Example

```
main_standard solve = do
  res :: Maybe [Matrix 5 Integer] <- solve $ do
    ms @ [a,b,c] :: [Matrix 5 (Natural 3)] <-
      replicateM 3 $ unknown_positive_s
    rule_s [a,a] [b,c] ; rule_s [b,b] [a,c] ; rul
    return $ C.decode ms
  print res
```

```
rule_s lhs rhs = do
  let word (x:xs) = foldM times x xs
      l <- word lhs ; r <- word rhs
  assert_matrix_greater_s l r
```

DSL (embedded in Haskell) for SAT encoding  
Backend: Minisat-API or Glucose via DIMACS format

# Exotic Matrix Interpretations: Fuzzy

- ▶ exercise: find matrices over the fuzzy semiring  $\mathbb{F} = (\mathbb{N} \cup \{\infty\}, \min, \max)$

$$A, B \in \begin{pmatrix} < \infty & \cdots \\ \vdots & * \end{pmatrix} \text{ with } A^2 B^2 >_{\infty} B^3 A^3$$

where  $x >_{\infty} y$  iff ( $x > y$  or  $x = \infty = y$ )

- ▶ this proves termination of  $aabb \rightarrow bbaaa$  (a famous test case for automated termination, “Zantema’s Problem”,

`tpdb-4.0/SRS/Zantema/z001.srs`)

- ▶ general task: boolean combination (note “or”) of difference constraints (SMT-LIB: QF\_IDL)

# Exotic Matrix Interpretations: Tropical

- ▶ exercise: find matrices over the tropical semiring  $\mathbb{T} = (\mathbb{N} \cup \{\infty\}, \min, +)$   
 $A, B \in \begin{pmatrix} < \infty & \dots \\ \vdots & * \end{pmatrix}$  with  $A^2 B^2 >_{\infty} B^3 A^3$   
where  $x >_{\infty} y$  iff ( $x > y$  or  $x = \infty = y$ )
- ▶ (again) proves termination of  $aabb \rightarrow bbaaaa$
- ▶ boolean combination of linear inequalities (SMT-LIB: QF\_LIA)

# Flavours of Constraint Programming

- ▶ (mixed) integer linear programs
- ▶ finite domain constraints
- ▶ boolean satisfiability (SAT)  
DPLL (propagation, backtracking)  
with CDCL (clause learning, backjumping),  
preprocessing (var. and clause elimination)
- ▶ SAT modulo Theory (SMT)  
T: linear inequalities (LRA), difference  
constraints (IDL), bitvector operations (BV)  
“lazy approach”: DPLL(T)
- ▶ “eager approach” for BV: *bit-blasting*



# Methods to solve Polynomial Constraints

- ▶ matrices over  $\mathbb{N}$ : QF\_NIA is mostly hopeless  
Tarski, QEPCAD
- ▶ fix bit width, use QF\_BV (bit vectors)
- ▶ but their arithmetics is silently overflowing
- ▶ for small widths, use hand-crafted bit-blasting
- ▶ matrices over  $\mathbb{T}, \mathbb{F}$ : the boolean part dominates  
(the “or” in “min” is used very often)
- ▶ again, QF\_BV or bit-blasting

## ... solve Pol. Constraints (cont.)

- ▶ use QF\_LRA (!) [BLN<sup>+</sup>09, YKS14] for determining coefficients of  $x \mapsto a_i x + b_i$ , bit-blast  $a_i$ , obtain linear inequalities for  $b_i$  better than QF\_NIA, relation to QF\_BV not clear
- ▶ each termination prover somehow bit-blasts, but deeply buried as subroutine in proof search no uniform testbed, no reliable comparison
- ▶ no-one has seriously used “classical” constraint programming (Gecode, ...) or its modern variants (Zinc)
- ▶ if you can beat our bit-blasting, you're very much welcome (win the Termination Competition)

# Termination Competitions

- ▶ since 2003, yearly
- ▶ input: rewrite system  $R$ ,  
out: YES ( $R$  terminates), NO, MAYBE/timeout

extensions:

- ▶ variants of rewriting (strategies, modulo AC, . . .)
- ▶ programming languages (Haskell, Prolog, Java, C)
- ▶ complexity (derivation lengths)
- ▶ certification (of proofs of (non) termination)

termcomp 2015:

- ▶ 10 participants,  $10^4$  problems,  $10^7$  sec (CPU)
- ▶ 10 h (wall), <http://www.starexec.org/>

# (SAT) Constraints for Termination

- ▶ precedence for path orders:  
Kurihara, Kondo [KK99] (using BDD for solving)  
Stuckey et al. [CLS08]
- ▶ coefficients for interpretations:  
polynomials [FGM<sup>+</sup>07],  
matrices [HW06, EWZ08]
- ▶ looping derivations  
in string rewriting [ZSHM10], in term rewriting
- ▶ semantic labelling w.r.t. finite model  
use Haskell-to-SAT compiler CO4,  
ongoing PhD thesis of Alexander Bau

# Bit-Blasting for General Arithmetics

- ▶ standard approach: circuit  $\xrightarrow{T_{\text{seitin}}}$  CNF
- ▶ addition: ripple-carry (linear depth) or something tricky (with log depth)?  
overhead of carry-lookahead is too much (for small widths, and for larger, multiplication is the blocker anyway)
- ▶ multiplication: “school” method (repeated add-and-shift) or . . . ? (fake) Wallace multiplier (with dumb addition at the very end)
- ▶ in any case: integrated (early) overflow detection

# General Bitblasting Examples

```
half_adder x y = do
  r <- B.xor2 x y ; c <- B.and [x,y]
  return (r,c)
```

```
add xs ys = do
  let go (Just c) [] [] = do
        B.assert [ B.not c ] ; return []
      go Nothing (x:xs) (y:ys) = do
        (r,c) <- half_adder x y
        (r:) <$> go (Just c) xs ys
      go (Just c) (x:xs) (y:ys) = do
        (r,c) <- full_adder x y c
        (r:) <$> go (Just c) xs ys
  go Nothing xs ys
```

# Bit-Blasting for Narrow Arithmetics

- ▶ approach: no extra variables (no Tseitin)  
— use a minimal equivalent CNF
- ▶ example: (non-overflowing) 3 bit addition has a CNF with 24 clauses (on 9 variables)  
Ripple-Carry adder has 2 extra vars (carries) and  $2 * 14 + 7$  clauses (2 full, 1 half adder)
- ▶ intermediate approach: very few extra variables, use minimal satisfiable-equivalent CNF (ongoing MSc. thesis by Martin Finke)
- ▶ divide and conquer for larger widths

# Narrow Bitblasting Example

```
mul3 [x1, x2, x3] [x4, x5, x6] = do
  res@[x7, x8, x9] <- replicateM 3 boolean
  let a = assert
      a [x4, not x7] ; a [x4, x5, not x8]
      a [not x3, not x6] ; a [not x3, not x5]
      a [not x3, not x4, x9] ; a [not x3, x4, not x9]
      a [x3, x5, x6, not x9] ; a [not x2, not x6]
      a [not x2, not x5, x9] ; a [not x2, not x5, not x7]
      a [not x2, not x4, x8] ; a [x2, not x5, x6, not x9]
      a [x2, x5, not x8] ; a [not x1, not x6, x9]
      a [not x1, not x5, x8] ; a [not x1, not x4, x7]
      a [x1, not x7] ; a [x1, not x6, not x9]
      a [x1, x4, not x8] ; a [x1, x2, not x8]
  return res
```



# Optimal CNFs - with respect to what?

- ▶ circuit optimisation aims to reduce size (area), depth (delay), fan-out (current), . . .
- ▶ for bitblasting, actual aim is DPLL run-time (for the complete formula)
- ▶ correlation to size/shape of (sub)formulas is loose and/or unknown
- ▶ can we measure *propagatability*?  
(unit propagation is what speeds DPLL)

# Tightness of CNF encodings

- ▶ CNF  $F$  is *(UP) tight for conflicts* if for each partial assignment  $\sigma$  that cannot be extended to a model of  $F$ ,  $F_\sigma$  contains a conflict clause (creates a conflict clause by UP)
- ▶ CNF  $F$  is *(UP) tight for propagation* if for each partial assignment  $\sigma$  and each unique extension to  $v \notin \text{dom}(\sigma)$ ,  $F_\sigma$  contains a unit clause for  $v$  (creates such a clause by UP)
- ▶ it is not clear how to encode this (efficiently) for the CNF optimisation problem
- ▶ but can add clauses afterwards for tightness.

# Example: non-tight for Conflicts

- ▶ semantics: non-overflowing 3 bit multiplication (9 variables)
- ▶ implementation: school method
- ▶ partial assignments (LSB is left) that cannot be extended to a model but that do not give conflict by unit prop:
  - ... \* .10 = 1.1 meaning  $. * \{2, 3\} = \{5, 7\}$
  - ... \* 11. = .01 meaning  $. * \{3, 7\} = \{4, 5\}$
  - ..0 \* ..0 = 1.1 meaning  $\{\leq 3\} * \{\leq 3\} = \{5, 7\}$

# Examples: non-tight for Propagation

half adder:

- ▶ implementation: ( $r = x \oplus y$ ,  $c = x \wedge y$ )  
with 4 + 3 clauses
- ▶ semantics implies  $r \Rightarrow \neg c$   
but this cannot be proven by unit prop

3 bit non-overflowing multiplication

- ▶ implementation: school method,  
tight half and full adders
- ▶ ... \* .1. = 1.. implies .0. \* .1. = 11.
- ▶ but this cannot be unit-propagated
- ▶ (unit prop. finds 1.. \* .1. = 1.. though)

# CNFs for the Fuzzy Semiring (max,min)

- ▶ our constraints are just  $x > y$ , have small model property.
- ▶ should use order encoding for numbers,  
 $[n] = (\underbrace{1, \dots, 1}_n, \underbrace{0, \dots, 0}_{w-n})$ .
- ▶ then min/max are cheap (element-wise and/or)
- ▶  $k$ -ary min  
not by nested 2-ary min ( $3(k-1)w$  clauses)  
but one element-wise ( $k$ -ary) or  $((k+1)w$  clauses)

# Symmetry Breaking

(AFAIK, no-one considered this for termination)

- ▶ for standard matrix interpretations, can permute indices  $\{1, d\}$  and  $\{2, \dots, d - 1\}$ .
- ▶ for exotic,  $\{2, \dots, d\}$ .

# Adding Redundant Constraints

- ▶ fuzzy numbers are order-encoded (monotone sequence of bits)
- ▶ fuzzy operations (min,max) (bit-wise and, or) produce monotone values
- ▶ can add monotonicity constraint for the results (redundant but possibly helpful)

data: z001 fuzzy dim 9 bits 6 with glucose on kernkraft (for re-paired version)

baseline: 118 min, with monotonicity: 8 min,

# Fine Points of Tropical Bit Blasting

- ▶ recall  $\mathbb{T} = \mathbb{N} \cup \{+\infty\}$ , min, plus.
- ▶ encoding of  $\mathbb{T}$ : one “finite” bit, a (binary) number (“contents”)
- ▶ semiring addition (min)

```
Tropical <$> (finite s || finite t)
      <*> min (contents s) (contents t)
```

needs an extra condition to work

- ▶ semiring multiplication (plus)

```
Tropical <$> (finite s && finite t)
      <*> plus (contents s) (contents t)
```

will *not* work as expected



# Optimisations specific to Matrix Products

- ▶ instead of  $a*a*b*b > b*b*b*a*a*a$  (8 Mult.)
- ▶ compute  
 $a2 = a*a; b2 = b*b; a2*b2 > b2*b*a*a2$   
(6 Mult.)
- ▶ in general [BLNW13]:  
eliminate common substrings,  
(implementation: repeatedly remove pairs)  
this uses associativity of matrix multiplication,

# Solving Matrix Constraints by Completion

- ▶ increase entries in matrices, along a path
- ▶ path may contain “fresh” nodes (increase matrix dimension)
- ▶ this is a form of local search
- ▶ for standard matrix constraints, use as heuristics
- ▶ for fuzzy matrix constraints, there is a semi-algorithm [EHW06] (if a solution exists, it will be found) that can do this very quickly (creating huge matrices)

# Challenges

general:

- ▶ solve matrix constraints over  $\mathbb{N}, \mathbb{T}, \mathbb{A}, \mathbb{F}$
- ▶ improve bit-blasting for QF\_BV solvers

concrete open questions

- ▶ for  $a^2 \rightarrow bc, b^2 \rightarrow ac, c^2 \rightarrow ab$  over  $\mathbb{N}$ :  
solution with dimension  $< 5$ ?
- ▶ ... is there an upper triangular solution?  
(0 below diag.,  $\leq 1$  on diag.) (any dim.)
- ▶ for  $a^2 b^2 \rightarrow b^3 a^3$  over  $\mathbb{F}$ : dimension  $< 9$ ?
- ▶ for  $a^2 b^2 \rightarrow b^3 a^3$  over  $\mathbb{T}$ : dimension  $<$  previous?
- ▶ for  $a^2 b^2 \rightarrow b^3 a^3$  over  $\mathbb{N}$ : upper triangular?



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