

# Exercises in Termination

Dieter Hofbauer and Johannes Waldmann

manuscript, Intl. School on Rewriting 2008

## 1 Derivational Complexity

### 1.1 Three rewriting systems that look alike

Find lower bounds for the derivational complexity of:

- $R_1 = \{ba \rightarrow acb, bc \rightarrow abb\}$
- $R_2 = \{ba \rightarrow acb, bc \rightarrow cbb\}$
- $R_3 = \{ba \rightarrow aab, bc \rightarrow cbb\}$

Hint: one is doubly exponential, one is multiply exponential, one is non-terminating.

A lower bound is proved by presenting a family of derivations that achieves the desired length.

### 1.2 How To Count

(H. Zantema) Give an example of a length-preserving string rewriting system that has exponential derivational complexity, by simulating a binary counter. Use letters 0,1 and a “carry” symbol.

(Hint: there is a solution with total size (sum of lengths of all lhs and rhs) 12. Can you do better?)

- show exactly a family of derivations of exponential length
- give a termination proof

### 1.3 Such A Loong Loop

Find a looping derivation for  $R_k = \{10^k \rightarrow 0^k 1^k 0\}$ .

### 1.4 All (Natural) Degrees

(D. Hofbauer) We choose a number  $d \in \mathbb{N}$  with  $d \geq 2$  and consider the system  $R_d = \{xy \rightarrow zx \mid z < x\}$  over  $\Sigma_d = \{1, \dots, d\}$ .

Show that the derivational complexity of  $R_d$  is  $\Theta(n^d)$ .

Of course, this requires two proofs:

- there is a derivation of the given length
- there is no longer derivation

Hint (for both parts): the  $x$  symbol (the largest in each rule) moves from left to right.

Extra question: find the smallest subset of  $R_d$  that still has the same properties.

### 1.5 In Between Days

Find a string or term rewriting system whose derivational complexity is polynomially bounded, but not big-Theta of any polynomial. E.g. construct a system of complexity  $n \mapsto n \cdot \log n$ .

### 1.6 Some Unnatural Degrees

(H. Zantema) Find a rewriting system with derivational complexity  $\Theta(n^d)$  where  $d$  is not an integer.

Hint: for instance,  $d = \log_2(3)$ .

Can you do with one rule only?

### 1.7 Quadratic used to be Easy

Give an example of a string rewriting system with quadratic derivational complexity where all rules are length-increasing.

(So the obvious  $ab \rightarrow ba$  is ruled out.)

## 2 Match Bounds

### 2.1 Right. Forward!

Verify that for  $k \geq 2$ , the system  $R_k = \{baba^k \rightarrow a^{k+1}babab\}$  is RFC-matchbounded by 2. Construct the automaton, it is not too hard.

Note: this problem is treated (without match-bounds) in Section 6.6 of Geser's Habil thesis.

### 2.2 How High Can You Get

For any  $n \in \mathbb{N}$ , construct a SRS  $R_n$  that is exactly match-bounded by  $n$ .

- (easy) number of rules and size of alphabet may depend on  $n$
- alphabet is fixed (but number of rules may depend on  $n$ )
- (hard) both alphabet and number of rules is fixed (so, length of rules depends on  $n$ )

### 2.3 Match Left and Match Right

(research problem)

We define a method to annotate positions in strings with heights: in a rule application  $l \rightarrow r$  with  $|l| > 1$  and  $|r| > 1$ , choose any nontrivial representation  $l = l_1 l_2, r = r_1 r_2$ , and then annotate with match heights for  $l_1 \rightarrow r_1$  and  $l_2 \rightarrow r_2$  separately (the  $r_1$  part gets  $1 + \min l_1$ , the  $r_2$  part gets  $1 + \min l_2$ ). Any derivation annotated in that manner is called a split-match derivation. Prove that a system is split-match-bounded iff it is match-bounded.

### 2.4 Near Ground Level (Plain)

Determine the class of one-rule string rewriting systems that are match-bounded by one. (Discuss what overlaps are allowed between lhs and rhs.)

## 2.5 Near Ground Level (RFC)

Determine the class of one-rule string rewriting systems that are RFC-matchbounded by zero (i.e. they have no  $R$ -redex in the RFC closure automaton, assuming that the “extension rules” produce match height zero).

Here is an example:  $R = \{abaa \rightarrow a^3bbab\}$ . We compute  $\text{RFC}(R) = (a^3b^2)^*ab$  and this contains no  $R$ -redex.

## 2.6 The size without the bound

Is the following questions decidable: does a finite string rewriting system  $R$  admit a match-bound certificate

- with given size (number of states)  $s$  and given bound  $b$ ?
- with given bound (but any size)?
- with given size (but any bound)?
- with no further information? Remark: if you can choose the starting language, then this is undecidable (Middeldorp), but here the question is for  $\Sigma^*$ .

## 3 Matrix Method(s)

(we restrict to the “standard” matrix method (shape  $E$ ) where top left and bottom right entry of matrices are positiv, and top right entry of difference is positive.)

### 3.1 Small Matrices

Give the smallest matrix proof dimension for these systems:

- $R_1 = \{aa \rightarrow bbb\}$
- $R_2 = \{ab \rightarrow ba\}$
- $R_3 = \{aa \rightarrow aba\}$ .

Hint: one, two, three.

The easy part is (probably) to find the interpretation, the harder part is to prove that no smaller interpretation exists. You need to prove the theorem that matrix interpretations of dimensions  $\leq 2$  in fact show *simple* termination.

### 3.2 All Degrees

(see exercise in complexity)

Show that assigning weight  $\binom{p}{k}$  to letter  $k$  standing at position  $p$  (counting from the right) gives a compatible interpretation (the sum of weights is decreasing).

Show that this weight can be computed by a matrix interpretation. Hint: the matrices are upper triangular.

### 3.3 A Path Is Enough

Characterize those one-rule SRS that have a matrix interpretation that just consists of the path corresponding to the left-hand side, all edges labelled by one. (And of course the  $\Sigma$ -loops at start and end.)

### 3.4 Just One Bit Of Information

(research problem) Characterize (some of) those systems that admit a matrix interpretation where all entries in all matrices (interpretations of symbols and rules) are in  $\{0, 1\}$ . (This is what would happen if you restrict the bit width in the SAT translation to one.)

### 3.5 Some Calculus

(Using some computer algebra system,) take any matrix interpretation (e.g. the 5-dimensional for z001), pick one position and replace the entry there by a variable. Then discuss (plot) the difference(s) between lhs and rhs interpretations as functions of that variable.

## 4 Automated Termination

### 4.1 That's a Classic

SRS/Zantema/z001 . . . z128 is a classical problem set in termination of string rewriting. E.g. z001 is Zantema's Problem  $\{a^2b^2 \rightarrow b^3a^3\}$ , Without looking into your computer or the internet, answer the following:

- how many problems are these? (Hint: some are missing from the enumeration.

Bonus points: when (what year) were they removed and why?)

- what number is Zantema's Other Problem  $\{a^2 \rightarrow bc, b^2 \rightarrow ac, c^2 \rightarrow ab\}$ ? When was it first solved? By what program, using what method?

(Bonus points: why is this proof not visible in the results table?)

- what problems are looping?
- what problem is non-looping non-terminating?
- what problem is Ackermannian? was it solved?

### 4.2 Common Knowledge

What is the common property of SRS/Gebhardt/\* (besides being hard)?