# **"Free" SCC Analysis via Constant Interpretations**

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#### Motivation

- implementation of dependency pairs method
- that constructs (something like) the DP graph and its strongly connected components
- from (matrix) interpretations (found via SAT solver)
- with very little additional implementation cost

(this is the method of Matchbox/TRS in 2006)

#### **DP Method**

- ... transforms a standard termination problem
- into a *relative top*-termination problem:
- $SN(\rightarrow_R)$  is equivalent to  $SN(DP(R)_{top}/R)$ .
- Example:  $R = \{aa \rightarrow aba\}$  over  $\Sigma = \{a, b\}$ ,
- then  $DP(R) = \{Aa \rightarrow Aba, Aa \rightarrow A\}$
- over  $\Sigma \cup \Sigma'$  with  $\Sigma' = \{A, B\}$ .

#### **Interpretations for DP Problems**

alphabets  $\Sigma$  (original) and  $\Sigma'$  (defined symbols) two-sorted algebra with sorts  $(S, \gtrsim)$  and (T, >)interpretation  $[\cdot]: \Sigma \to (S^* \to S), \Sigma' \to (S^* \to T)$ 

- each [f] weakly monotone in each argument w.r.t.  $\gtrsim$  resp.  $\geq$ 

• 
$$\forall (l \to r) \in R : \forall \alpha \in \text{Var} \to A : [l, \alpha] \gtrsim [r, \alpha]$$

•  $\forall (l \to r) \in D : \forall \alpha \in \text{Var} \to A : [l, \alpha] > [r, \alpha],$ 

implies  $SN(D_{top}/R)$ .

#### **Matrix Interpretations for DP**

sort S = column vectors  $\mathbb{N}^{1 \times d}$ , T = naturals  $\mathbb{N}^{1 \times 1}$ . order  $\gtrsim$  on S component-wise, > on T standard. interpretation [f] is linear function

$$[f](x_1,\ldots,x_k)=M_1\cdot x_1+\ldots+M_k\cdot x_k+v.$$

- for matrices  $M_1, \ldots, M_k \in \mathbb{N}^{e \times d}$ , vector  $v \in \mathbb{N}^{e \times 1}$ , for  $e \in \{d, 1\}$ .
- interpretations  $[l,\alpha],[r,\alpha]$  are also linear functions
- weak monotonicity:  $\geq$  for pairs of coefficients, strict monotonicity: > in absolute part  $_{\text{WST, Seattle, August 2006 1}}$

# **Splitting DP Problems**

consider such an interpretation where

- $\forall (l \rightarrow r) \in D$ ,  $[l, \alpha]$  and  $[r, \alpha]$  are constant
- (= do not depend on value of variables  $\alpha$ )
- level h of D, written  $D_h$ ,
- consists of all rules  $(l \rightarrow r) \in D$ where  $[l, \alpha] = [r, \alpha] = \text{const } h$ .

 $\mathrm{SN}(D_{0,\mathrm{top}}/R) \wedge \ldots \wedge \mathrm{SN}(D_{k,\mathrm{top}}/R) \iff \mathrm{SN}(D_{\mathrm{top}}/R)$ 

# Example (I)

$$R = \{ab \to a^3, b^3 \to a^2ba^2, bab^2 \to b^3ab\}.$$
$$D = \begin{cases} Ab \to Aa^{0,1,2}, \\ Bb^2 \to Aa^{0,1}ba^2, Bb^2 \to Ba^2, Bb^2 \to Aa^{0,1}, \\ Bab^2 \to Bb^{0,1,2}ab, Bab^2 \to Ab, \end{cases}$$

0-dimensional interpretation (vectors of length 0 for sort S) and [A](x) = 0, [B](x) = 1:

• level one:  $\{Bb^2 \rightarrow Ba^2, Bab^2 \rightarrow Bb^{0,1,2}ab\}$ ,

• level zero:  $Ab \rightarrow Aa^{0,1,2}$ 

ignore decreasing rules  $B \ldots \rightarrow A^{\text{wst, Seattle, August 2006 - p.7/??}}$ 

# Example (II)

For level zero ( $Ab \rightarrow Aa^{0,1,2}$ ) use interpretation

$$a: x \mapsto \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \cdot x,$$
$$b: x \mapsto \begin{pmatrix} 1 & 2 \\ 0 & 0 \end{pmatrix} \cdot x + \begin{pmatrix} 1 \\ 0 \end{pmatrix},$$
$$A: x \mapsto \begin{pmatrix} 1 & 0 \end{pmatrix} \cdot x.$$

weakly monotonic for  $R,\, {\rm strictly}$  monotonic for level zero of D

### Example (III)

For level one  $\{Bb^2 \rightarrow Ba^2, Bab^2 \rightarrow Bb^{0,1,2}ab\}$ , use

$$a: x \mapsto \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \cdot x + \begin{pmatrix} 0 \\ 0 \end{pmatrix},$$
$$b: x \mapsto \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \cdot x + \begin{pmatrix} 0 \\ 1 \end{pmatrix}, B: x \mapsto \begin{pmatrix} 1 & 0 \end{pmatrix} \cdot x.$$

weakly monotonic for  $R \cup D$  and constant for D:  $\forall t \in \{Bb^2, Ba^2, Bb^{1,2}ab\} : [t](x) = 0$   $\forall t \in \{Bab^2, Bab\} : [t](x) = 1.$ Remove (decreasing)  $\{Bab^2 \rightarrow Bb^{1,2}ab\}$  and split :

 $SN(Bb^2 \rightarrow Ba^3/R)$  and  $SN(Bab^2 \rightarrow Bab/R)$ .

# **Discussion (Example)**

- Termination of R cannot be shown by "pure" dependency pair approach (Aprove, TTT give up)
- There is a termination proof via labelling w.r.t. a (quasi) model in  $\{0,1\}^2$  (found by Torpa-1.4 and TPA-1.0)
- and there is a  $4 \times 4$ -matrix interpretation (found by the Xbox provers).
- Splitting via constant interpretations helps to reduce the proof obligations, as the matrix dimension is reduced from  $4 \times 4$  to  $2 \times 2$ .

### **Discussion (general)**

- method can (to some extent) replace SCC analysis of DP graph
- implementation is trivial for provers that already have a constraint solver that finds (matrix) interpretations.
- method is "verifier-friendly"

The exact relation between our splitting construction and standard algorithms remains open.

#### Literatur

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