

# Decomposing Terminating Rewrite Relations

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# Motivation and Outline

## Remark (Motivation)

*Construction of certificate automaton for match-boundedness. Up to now*

- *exact but inefficient algorithm [Matchbox03]*
- *approximation, fast but incomplete [Torpa04, Aprove05, TTTBox06]*

*This talk explains the algorithm of [Jambox05, Matchbox06]*

- 1 Decomposing string rewriting systems
  - Decomposition
  - Conjugates
  - Deleting string rewriting
- 2 Decomposing match-bounded systems
  - Match-bounded string rewriting
  - Improved decomposition for  $\text{match}(R)$
  - On-line construction of automata

# Decomposition

We use the following classes of string rewriting systems (SRS):

- $CF = \{R \mid \forall (\ell \rightarrow r) \in R : |\ell| \leq 1\}$  (context-free SRS)
- $CF_0 = \{R \mid \forall (\ell \rightarrow r) \in R : |\ell| = 0\} \subseteq CF$
- $SN = \{R \mid \rightarrow_R \text{ is strongly normalizing (terminating)}\}$

We write  $R^-$  for  $\{r \rightarrow \ell \mid (\ell \rightarrow r) \in R\}$ .

## Definition (Decomposition of $R$ )

Let  $R$  be a SRS over  $\Sigma$ , let  $S$  and  $T$  be SRSs over  $\Gamma \supseteq \Sigma$ .  
Then the pair  $(S, T)$  is a **decomposition of  $R$**  if

$$\rightarrow_R^* = (\rightarrow_S^* \circ \rightarrow_T^*) \cap (\Sigma^* \times \Sigma^*).$$

If additionally  $S \in \mathcal{S}$  and  $T \in \mathcal{T}$  for classes of SRSs  $\mathcal{S}$  and  $\mathcal{T}$ ,  
then  $(S, T)$  is called an  **$(\mathcal{S}, \mathcal{T})$ -decomposition** of  $R$ .

# Left and right inverse letters

$(\Sigma^*, \cdot)$  is a monoid, but concatenation is not invertible.

We introduce **formal left and right inverses** of letters:

- $\bar{\Sigma} = \Sigma \uplus \{\overrightarrow{a}, \overleftarrow{a} \mid a \in \Sigma\}$

The behaviour of these is expressed by the rewriting system:

- $E = \{\overrightarrow{a}a \rightarrow \epsilon, a\overleftarrow{a} \rightarrow \epsilon \mid a \in \Sigma\}$

We extend  $\overrightarrow{\phantom{a}}$  and  $\overleftarrow{\phantom{a}}$  from letters to strings by:

- $\overrightarrow{a_1 \cdots a_n} = \overrightarrow{a_n} \cdots \overrightarrow{a_1}$  and  $\overleftarrow{a_1 \cdots a_n} = \overleftarrow{a_n} \cdots \overleftarrow{a_1}$

Observe that  $\overrightarrow{x}x \xrightarrow{*}_E \epsilon \xleftarrow{*}_E x\overleftarrow{x}$  for  $x \in \Sigma^*$ .

The above construction is standard. The congruence relation generated by  $\rightarrow_E$  is called the **Shamir congruence** in [Sak03] II.6.2.

# Conjugates

## Example

The system  $R = \{ab \rightarrow c\}$  over  $\Sigma = \{a, b, c\}$  has the conjugates  $R, \{b \rightarrow \overleftarrow{a}c\}, \{a \rightarrow c\overrightarrow{b}\}, \{\epsilon \rightarrow \overleftarrow{a}c\overrightarrow{b}\}, \{\epsilon \rightarrow \overleftarrow{b}\overleftarrow{a}c\}, \{\epsilon \rightarrow c\overrightarrow{b}\overrightarrow{a}\}$ .

By  $C(R)$  we denote the union of all conjugates of  $R$ .

## Lemma

For every SRS  $R$  over  $\Sigma$ ,

- (1)  $\rightarrow_{C(R) \cup E}^* \cap (\Sigma^* \times \Sigma^*) \subseteq \rightarrow_R^*$  (correctness)
  - (2)  $\rightarrow_R^* \subseteq \rightarrow_C^* \circ \rightarrow_E^*$  (completeness)
- for every context-free conjugate  $C$  of  $R$

## Theorem

Every SRS  $R$  has a context-free conjugate  $C$  and

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# Deleting string rewriting

## Definition (Deleting string rewriting)

A SRS  $R$  over  $\Sigma$  is called **deleting** if there is an irreflexive partial ordering  $>$  on  $\Sigma$  such that

$$\forall (\ell \rightarrow r) \in R \exists a \in \ell \forall b \in r : a > b$$

## Lemma

*For a SRS  $R$ , the following conditions are equivalent:*

- (1) There is a terminating context-free conjugate of  $R$ .*
- (2)  $R$  is deleting.*

## Corollary

*Let  $R$  be a deleting string rewriting system, then*

- (1)  $R$  has a  $(\text{SN} \cap \text{CF}, \text{SN} \cap \text{CF}_0^-)$ -decomposition, and*
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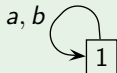
is deleting with respect to the ordering  $a > b > c > d > \epsilon$ .

A terminating context-free conjugate of  $R$  is

$$C = \{a \rightarrow \overleftarrow{b}cb, b \rightarrow d\overrightarrow{d}, c \rightarrow de\overrightarrow{d}, d \rightarrow \epsilon\}$$

We construct an automaton  $A$  with  $\mathcal{L}(A) = R^*(L)$  where  $L = \{a, b\}^*$ .

Naive algorithm: closure under  $R$ .



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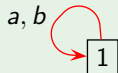
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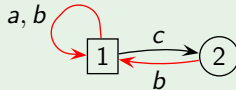
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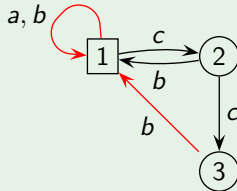
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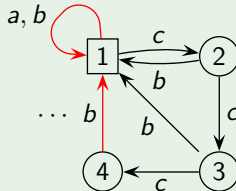
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$\implies$  the algorithm does not terminate!

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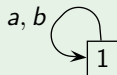
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Off-line construction: use  $\rightarrow_R^* = (\rightarrow_C^* \circ \rightarrow_E^*) \cap (\Sigma^* \times \Sigma^*)$



- 1 closure under  $C$
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- 3 restriction to  $\Sigma$

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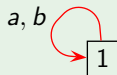
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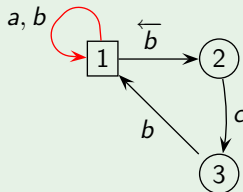
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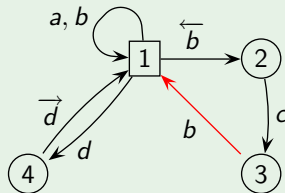
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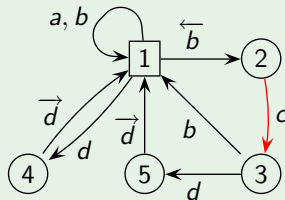
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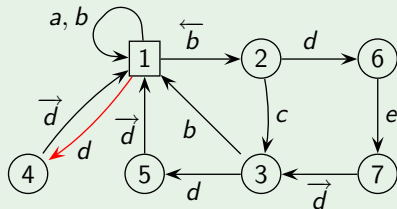
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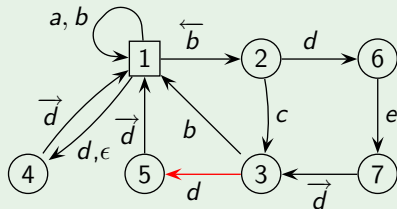
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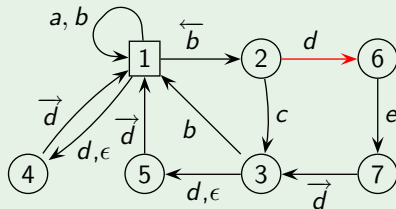
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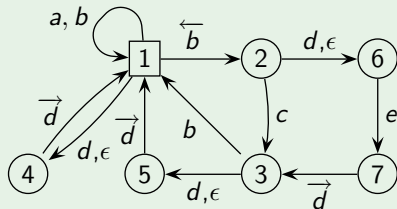
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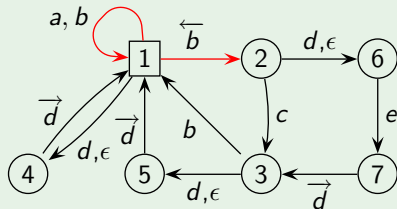
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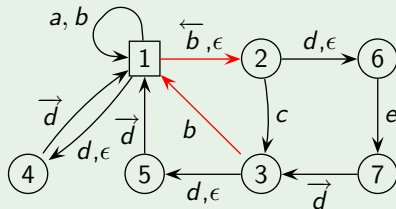
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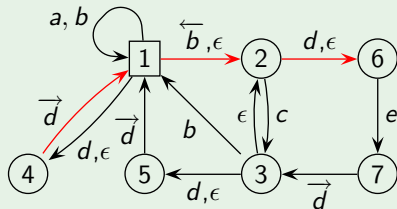
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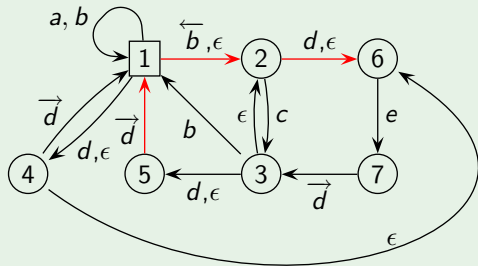
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Off-line construction: use  $\rightarrow_R^* = (\rightarrow_C^* \circ \rightarrow_E^*) \cap (\Sigma^* \times \Sigma^*)$

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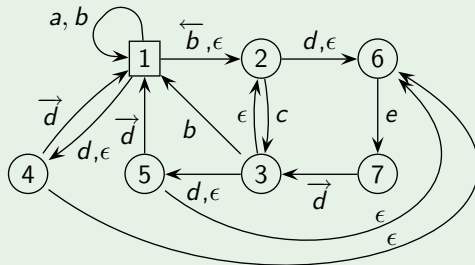
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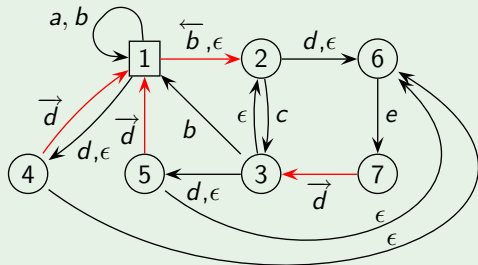
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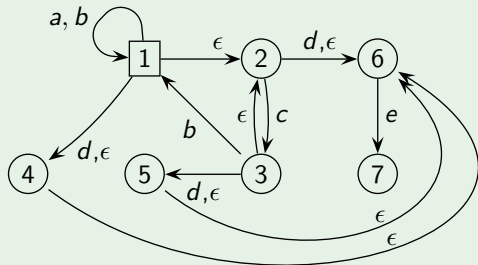
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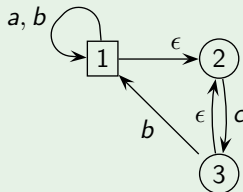
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$$\implies R^*(L) = (a + c^*b)^*$$

# Match-bounded string rewriting

Following [GesHofWal04], we annotate letters by numbers.

- Extended alphabet:  $\Gamma = \Sigma \times \mathbb{N}$  (we write  $a_n$  for  $(a, n)$  in  $\Gamma$ )

Define base :  $\Gamma \rightarrow \Sigma$ , height :  $\Gamma \rightarrow \mathbb{N}$ , lift $_n$  :  $\Sigma \rightarrow \Gamma$  for  $n \in \mathbb{N}$  by

$$\text{base}(a_n) = a, \text{height}(a_n) = n, \text{lift}_n(a) = a_n.$$

## Definition (match(R))

For a SRS  $R$  over  $\Sigma$  where  $\epsilon \notin \text{lhs}(R)$  define a SRS over  $\Gamma$ :

$$\text{match}(R) = \{ \ell' \rightarrow \text{lift}_{m+1}(r) \mid (\ell \rightarrow r) \in R, \text{base}(\ell') = \ell, \\ m = \min \text{height}(\ell') \}$$

The SRS  $\text{match}(R)$  simulates  $R$ -rewriting:  $\rightarrow_R^* = \text{lift}_0 \circ \rightarrow_{\text{match}(R)}^* \circ \text{base}$

## Example (match({aa → aba}))

$$a_0 a_0 \rightarrow a_1 b_1 a_1, a_0 a_1 \rightarrow a_1 b_1 a_1, a_2 a_1 \rightarrow a_2 b_2 a_2, a_4 a_8 \rightarrow a_5 b_5 a_5, \dots$$



## Definition (Match-boundedness)

The system  $R$  is called **match-bounded by  $h \in \mathbb{N}$**  if

$$\rightarrow_{\text{match}(R)}^*(\text{lift}_0(\Sigma^*)) \subseteq (\Sigma \times \{0, \dots, h\})^*$$

## Theorem

*Every match-bounded SRS is terminating.*

For a system  $S$  over  $\Sigma \times \mathbb{N}$  let  $S_h = S|_{\Sigma \times \{0, \dots, h\}}$ .

If  $R$  is match-bounded by  $h$  then  $\rightarrow_R^* = \text{lift}_0 \circ \rightarrow_{\text{match}_h(R)}^* \circ \text{base}$

## Remark

*Each system  $\text{match}_h(R)$  is deleting w.r.t.  $a_m > b_n$  if  $m < n$ . Hence we could apply the decomposition for deleting systems to  $\text{match}_h(R)$ , but ...*

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# Improved decomposition for match(R)

Giving up uniqueness of the inverses to improve the decomposition:

$$E' = \{ \overrightarrow{a_i} a_j \rightarrow \epsilon, a_j \overleftarrow{a_i} \rightarrow \epsilon \mid a \in \Sigma, j \geq i \geq 0 \}$$

$$C' = \{ a_i \rightarrow \text{lift}_i(\overleftarrow{x}) \text{lift}_{i+1}(r) \text{lift}_i(\overrightarrow{y}) \mid (xay \rightarrow r) \in R, a \in \Sigma, i \geq 0 \}$$

## Example

Take  $R = \{aa \rightarrow aba\}$ , and consider decompositions of  $\text{match}_2(R)$ .

$$C'_2 = \{ a_0 \rightarrow \overleftarrow{a_0} a_1 b_1 a_1, \quad C_2 = C'_2 \uplus \{ a_0 \rightarrow \overleftarrow{a_1} a_1 b_1 a_1, a_0 \rightarrow \overleftarrow{a_2} a_1 b_1 a_1, \\ a_0 \rightarrow a_1 b_1 a_1 \overrightarrow{a_0}, \quad a_0 \rightarrow a_1 b_1 a_1 \overrightarrow{a_1}, a_0 \rightarrow a_1 b_1 a_1 \overrightarrow{a_2}, \\ a_1 \rightarrow \overleftarrow{a_1} a_2 b_2 a_2, \quad a_1 \rightarrow \overleftarrow{a_2} a_2 b_2 a_2, \\ a_1 \rightarrow a_2 b_2 a_2 \overrightarrow{a_1} \} \quad a_1 \rightarrow a_2 b_2 a_2 \overrightarrow{a_2} \}$$

$C'_2$  contains 4 rules, and  $E'_2 = \{ \overrightarrow{a_0} a_0 \rightarrow \epsilon, \overrightarrow{a_0} a_1 \rightarrow \epsilon, \dots \}$  with 24 rules.  
In contrast,  $C'_2 \subset C_2$  with  $|C_2| = 10$ , while  $E_2 \subset E'_2$  and  $|E_2| = 12$ .

Theorem (match( $R$ ) decomposition)

$(C', E')$  is a  $(\text{SN} \cap \text{CF}, \text{SN} \cap \text{CF}_0^-)$ -decomposition of  $\text{match}(R)$ .

$(C'_h, E'_h)$  is a  $(\text{SN} \cap \text{CF}, \text{SN} \cap \text{CF}_0^-)$ -decomposition of  $\text{match}_h(R)$ .

## Corollary

Every match-bounded SRS has a  $(\text{SN} \cap \text{CF}, \text{SN} \cap \text{CF}^-)$ -decomposition.

The improved decomposition yields a drastic reduction from  $C_c$  to  $C'_c$ :

$$|C_h| \leq |R| \cdot m \cdot (h+1)^m \qquad |E_h| = |\Sigma| \cdot O(h)$$

$$|C'_h| \leq |R| \cdot m \cdot h \qquad |E'_h| = |\Sigma| \cdot O(h^2)$$

Less rules in  $C$  imply smaller automata.  $E$  adds only  $\epsilon$ -transitions.

## Example

$R = \{caac \rightarrow aaa, b \rightarrow aca, aba \rightarrow bb\}$  (RFC-match-bound 12)

$$|C_{12}| = 64054 \qquad |C'_{12}| = 286 \qquad |E_{12}| = 78 \qquad |E'_{12}| = 546$$

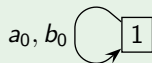
$$|A| > 10^{45} \qquad |A'| < 10^{15}$$

where  $|A|, |A'|$  are the automata sizes using the off-line construction.

## Example (On-line construction for match-bound search)

$$R = \{aa \rightarrow aba\} \text{ over } \Sigma = \{a, b\}$$

**On-line construction:** for every  $R$ -redex we choose a conjugate  $a \rightarrow r$ , add a fresh path labeled with  $r$ , followed by closure under  $E$ .



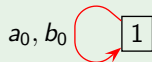
### ● Automaton for $\text{lift}_0(L)$

- $1 \xrightarrow{a_0} 1 \xrightarrow{a_0} 1$  is a  $\text{match}(R)$ -redex  $\implies$  conjugate  $a_0 \rightarrow a_1 b_1 a_1 \vec{a}_0$
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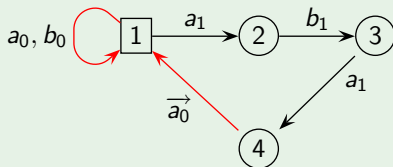


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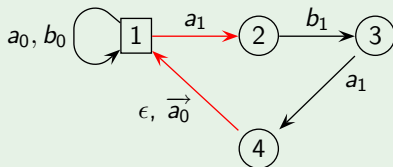


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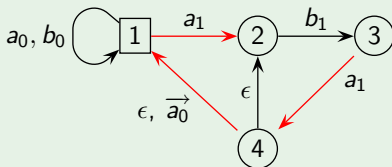
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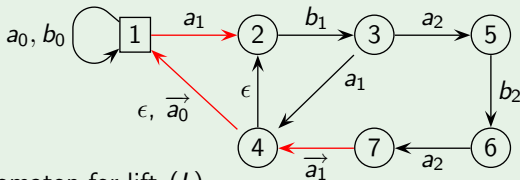


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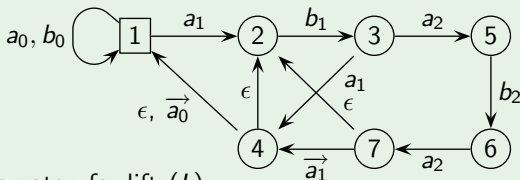


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## Example






To conclude, we consider the system

$$R = \{caac \rightarrow aaa, b \rightarrow aca, aba \rightarrow bb\}.$$

Jambox is the only termination prover that solved this problem in the recent termination competition, see

[http://www.lri.fr/~marche/termination-competition/  
problem/SRS/secret2006/jambox-1](http://www.lri.fr/~marche/termination-competition/problem/SRS/secret2006/jambox-1).

The implementation of our on-line algorithm constructs an exactly compatible automaton with 27.957 states that certifies the RFC-match-bound 12. (time is 1,13 seconds on a Athlon 3200+)

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